

**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF : Michael F. Wright et al.  
FOR : **UPRIGHT VACUUM CLEANER WITH  
CYCLONIC AIRFLOW**  
SERIAL NO. : UNKNOWN  
FILED : HEREWITH  
ATTORNEY DOCKET NO. : RYLZ 2 0535-3-3-3-1-1-1

Cleveland, Ohio  
July 18, 2003

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicants submit the following Disclosure Statement concerning art of which the applicants are aware. All of the art disclosed herein was cited in the earlier application, U.S. Serial No. 10,271,037. Copies of the references should be in the earlier case. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patents require no further explanation (or no translation is available).

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Date of Deposit July 18, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Kathleen A. Nimrichter

Under § 1.97(b)(3), this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

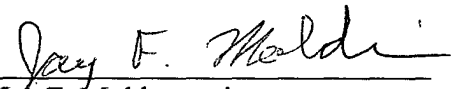
All of these references were brought to the attention of the Examiner in connection with the parent case, Serial No. 10/271,037. Therefore, copies of all of these references are present in the parent application and will not be submitted again herewith, in the interest of saving paper. However, should the Examiner be missing any of the references listed in the several PTO-1449 forms appended hereto, the Examiner is encouraged to contact the undersigned so that a copy of same can be provided.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

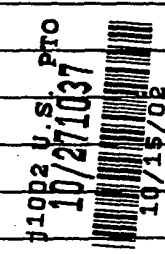
FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

Date: 18 July 2003

  
Jay F. Moldovanyi  
Reg. No. 29,678  
1100 Superior Avenue, Seventh Floor  
Cleveland, Ohio 44114-2518  
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Subst. Form PTO-1449  APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty.-Docket No.: RYL 2 535-3-3-3-1	Serial No.: Unknown 10/271037
	Applicant: Michael F. Wright et al.	
	Filing Date: Herewith	Group: Unknown 1700

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
<del>TIS</del>	AA	2,316,836	4/20/43	A. A. Breuer	55	337	
<del>TIS</del>	AB	2,394,923	2/12/46	W. P. Little	55	337	
<del>TIS</del>	AC	2,507,897	5/16/50	T. Gavagnin	96	318	
<del>TIS</del>	AD	2,516,707	7/25/50	A. M. Lewyt, et al	55	379	
<del>TIS</del>	AE	2,921,646	1/19/60	D. M. Poole	55	327	
<del>TIS</del>	AF	3,177,635	4/13/65	A. P. Cawl, et al	96	421	
<del>TIS</del>	AG	3,320,727	5/23/67	F. E. Farley	55	337	
<del>TIS</del>	AH	3,797,064	3/19/74	Charles H. MacFarland	15	351	
<del>TIS</del>	AI	3,820,310	6/28/74	Charles T. Fromknecht et al.	55	447	
<del>TIS</del>	AJ	3,910,781	10/7/75	Samuel Bryant, Jr	55	305	
<del>TIS</del>	AK	4,108,778	8/22/78	Steven J. Lambert et al	210	297	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
<del>TIS</del>	BA	2,280,388A	2/1/95	Great Britain	—	—	
<del>TIS</del>	BB	WO84 02282A	6/21/84	PCT	—	—	
<del>TIS</del>	BC	1,049,292	2/21/64	Great Britain	—	—	
<del>TIS</del>	BD	2,265,096A	9/22/93	United Kingdom	—	—	
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## OTHER ART

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Examiner: *B. Sider*

Date Considered: 1/22/03

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

Subst. Form PTO-1449

Atty. Docket No.:

RYL 2 535-3-3-3-1

Serial No.: Unknown

10/27/03

APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Michael F. Wright et al.

Filing Date: Herewith

Group: Unknown 1700

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
<u>TIS</u>	BA	4,118,208	10/3/78	George Lewis Klinedinst	55	433	
<u>TIS</u>	BB	4,172,710	10/30/79	Jacobus H. van der Molen	55	340	
<u>TIS</u>	BC	4,198,726	4/22/80	Benjamin S. Powel, Jr.	15	312.2	
<u>TIS</u>	BD	4,268,288	5/19/81	Peter J. Coombs	55	337	
<u>TIS</u>	BE	4,276,070	6/30/81	Niklaus Hug	55	429	
<u>TIS</u>	BF	4,443,235	4/17/84	David L. Brenholt, et al.	96	408	
<u>TIS</u>	BG	4,457,043	7/3/84	Lennart Oeberg, et al.	15	346	
<u>TIS</u>	BH	4,486,206	12/4/84	Susumu Miyakawa, et al.	55	337	
<u>TIS</u>	BI	4,571,772	2/25/86	James Dyson	15	335	
<u>TIS</u>	BJ	4,573,236	3/4/86	James Dyson	15	333	
<u>TIS</u>	BK	4,593,429	6/10/86	James Dyson	15	353	

## FOREIGN PATENT DOCUMENTS

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## OTHER ART

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Examiner:

R. J. J. J.

Date Considered: 1/22/03

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Subst. Form PTO-1449  APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: RYL 2 535-3-3-3-1	Serial No.: <del>Unknown</del> 10/271037
	Applicant: Michael F. Wright et al.	
	Filing Date: Herewith	Group: <del>Unknown</del> 700

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
TIS	CA	4,643,748	2/17/87	James Dyson	55	338	
TIS	CB	4,718,924	1/12/88	Thomas M. DeMarco	55	302	
TIS	CC	4,826,515	5/2/89	James Dyson	55	345	
TIS	CD	4,853,008	8/1/89	James Dyson	55	345	
TIS	CE	4,853,011	8/1/89	James Dyson	355	345	
TIS	CF	4,944,780	7/31/90	Kal Usmani	55	337	
TIS	CG	5,062,870	11/5/91	James Dyson	96	400	
TIS	CH	5,078,761	1/7/92	James Dyson	96	400	
TIS	CI	5,090,976	2/25/92	James Dyson	55	337	
TIS	CJ	5,101,532	4/7/92	James Dyson et al.	15	320	
TIS	CK	5,145,499	9/8/92	James Dyson	55	337	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	CA						
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## OTHER ART

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Examiner:

B. Snider

Date Considered: 1/22/03

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Subst. Form PTO-1449  APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: RYL 2 535-3-3-3-1	Serial No.: <u>10/271037</u> <del>Unknown</del>
	Applicant: Michael F. Wright, et al.	
	Filing Date: Herewith	Group: <del>Unknown</del> (700)

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
<u>TIS</u>	DA	5,160,356	11/3/92	James Dyson	55	345	
<u>TIS</u>	DB	5,230,722	7/27/93	Robert A. Yonkers	55	337	
<u>TIS</u>	DC	5,248,323	9/28/93	Phillip H. Stevenson	95	90	
<u>TIS</u>	DD	5,267,371	12/7/93	Norman V. Soler, et al.	15	327.5	
<u>TIS</u>	DE	5,271,751	12/21/93	Eugen Lögler et al	55	295	
<u>TIS</u>	DF	5,307,538	5/3/94	Geoffrey B. Rench et al.	15	352	
<u>TIS</u>	DG	4,284,422	8/18/81	Ferland	55	300	
<u>TIS</u>	DH	5,704,956	1/6/98	Loveless et al.	55	305	
<u>TIS</u>	DI	4,072,483	2/7/78	Doyle, Jr.	55	372	
<u>TIS</u>	DJ	4,355,434	10/26/82	Gongwer	15	327.1	
<u>TIS</u>	DK	5,593,479	1/14/97	Frey et al.	96	57	

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
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Examiner: V. Drick Date Considered: 1/22/03

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Subst. Form PTO-1449  APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: RYL 2 535-3-3-3-1	Serial No.: <del>Unknown</del> 10/27/037
	Applicant: Michael F. Wright, et al.	
	Filing Date: Herewith	Group: <del>Unknown</del> 1700

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl	Filing Date
<del>TIS</del>	EA	4,373,228	2/15/83	Dyson	15	350	
<del>TIS</del>	EB	4,769,052	9/6/88	Kowalski	55	379	
<del>TIS</del>	EC	5,090,975	2/25/92	Requejo et al.	134	21	
<del>TIS</del>	ED	5,287,591	2/22/94	Rench et al.	15	328	
<del>TIS</del>	EE	5,427,597	6/27/95	Osendorf	55	487	
<del>TIS</del>	EF	5,464,460	11/7/95	Bosses	55	374	
<del>TIS</del>	EG	5,603,741	2/18/97	Frey	55	368	
<del>TIS</del>	EH	5,685,894	11/11/97	Bowerman et al.	96	63	
<del>TIS</del>	EI	5,779,745	7/14/98	Kilström	55	337	
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## FOREIGN PATENT DOCUMENTS

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Examiner:

B. Sriden

Date Considered: 1/22/03

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<b>Subst. Form PTO-1449</b>  <b>APPLICANT'S(S) INFORMATION DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> RYL 2 0535-3-3-1	<b>Serial N.:</b> <del>Unknown</del> 10/27/03
	<b>Applicant(s):</b> Michael F. Wright et al.	
	<b>Filing Date:</b> Herewith	<b>Group:</b> <del>Unknown</del> 1700

### U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
TIS	FA	2,171,248	29 Aug 1939	W. A. Van Berkel	55	392	20 Jan 1936
TIS	FB	5,867,863	09 Feb 1999	McCormick	15	351	14 Aug 1997
TIS	FC	5,922,093	13 Jul 1999	James et al.	55	322	14 Oct 1997
TIS	FD	5,946,771	07 Sep 1999	Bosyj et al.	15	347	09 Jan 1997
TIS	FE	5,961,677	05 Oct 1999	Scott	55	385.1	20 Mar 1998
TIS	FF	6,070,291	6/2000	Bair et al.	15	347	
TIS	FG	5,746,795	5/1998	Witter	55	337	
TIS	FH	5,659,922	8/1997	Louis	15	352	
TIS	FI	5,254,147	10/1993	Finke	15	353	
TIS	FJ	5,107,567	4/1992	Ferrari et al.	15	352	
TIS	FK	4,967,443	11/1990	Krasznai et al.	15	350	
TIS	FL	RE. 32,751	9/1998	Jacobs et al.	15	350	
TIS	FM	3,853,518	12/1974	Tu et al.	55	337	

### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
TIS	FN	EP 0042 723	30 Dec 1981	European			
TIS	FO	1,468,142	19 Apr 1967	France			No
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### OTHER ART

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**Examiner:** R. Snider
 **Date Considered:** 1/22/03

\* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.



<b>Subst. Form PTO-1449</b>  <b>APPLICANT'S(S) INFORMATION</b> <b>DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> RYL 2 0535-3-3-1	<b>Serial No.:</b> <del>09/900,557</del> 10/271037
	<b>Applicant(s):</b> Michael F. Wright et al.	
	<b>Filing Date:</b> July 6, 2001	<b>Group:</b> 1744

U.S. PATENT DOCUMENTS							
Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
TIS	AA	2,187,164	1/1940	Leathers	15	352x	
TIS	AB	2,564,339	8/1951	Nerheim	15	352x	
TIS	AC	4,665,582	5/1987	Richmond et al.	15	352x	
TIS	AD	4,960,446	10/1990	Werner et al.	15	352x	
TIS	AE	5,018,240	5/1991	Holman	15	352x	
TIS	AF	5,020,186	6/1991	Lessig et al.	15	351x	
TIS	AG	6,192,550	2/2001	Hamada et al.	15	352	
TIS	AH	4,581,787	4/1986	Torigoe et al.	15	351	
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FOREIGN PATENT DOCUMENTS							
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<b>Examiner:</b> S. Snider	<b>Date Considered:</b> 1/22/03
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>Subst. Form PTO-1449</b>  <b>APPLICANT'S(S') INFORMATION DISCLOSURE STATEMENT</b>		<b>Atty. Docket N .:</b> RYL 2 0535-3-3-1		<b>Serial No.:</b> <del>09/900,557</del> <sup>10</sup> 271037	
<b>Applicant(s):</b> Michael F. Wright et al.		<b>Filing Dat :</b> July 6, 2001		<b>Group:</b> 1744	

U.S. PATENT DOCUMENTS							
Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
TIS	AA	930,125	08/1909	Barrett	—	—	10 Feb 1909
TIS	AB	1,133,543	03/1915	Duffie	—	—	20 Aug 1913
TIS	AC	1,768,617	7/ 1930	Lee, R.L.	—	—	14 Jan 1924
TIS	AD	2,643,733	06/1953	Shellman	55	429 xr	
TIS	AE	3,413,779	12/1968	Takahashi et al.	55	426 xr	
TIS	AF	4,426,211	01/1984	Ataka et al.	15	352 xr	
TIS	AG	5,129,125	7/1992	Gamou et al.	15	352	29 Oct 1990
TIS	AH	5,829,090	11/1998	Melito et al.	15	352	
TIS	AI	5,935,279	08/1999	Kilström, Lars	15	350	
TIS	AJ	6,012,200	01/2000	Murphy et al.	15	352 xr	
TIS	AK	6,146,434	11/2000	Scalfani et al.	15	353	24 Feb 1999
TIS	AL	6,256,834	07/2001	Meijer et al.	15	352	

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subcl.	Translation?
TIS	AM	WO 99/30602	24 Jun 1999	International	—	—	Yes
TIS	AN	WO 99/30604	24 Jun 1999	International	—	—	Yes
TIS	AO	WO 99/30605	24 Jun 1999	International	—	—	Yes

OTHER ART		
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<b>Examiner:</b> <i>rs. Friden</i>	<b>Date Considered:</b> <i>1/22/03</i>
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Subst. Form PTO-1449  APPLICANTS' INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: RYL 2 0535- 3-3-3-1-1	Serial No.: <del>Unknown</del> 10/27/037
	Applicant(s): Michael F. Wright et al.	
	Filing Date: Herewith	Group: 1700

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
TIS	AA	1,003,844	9/1911	Winans	—	—	
TIS	AB	1,029,562	6/1912	Prentiss	—	—	
TIS	AC	1,167,219	1/1916	Replogle	—	—	
TIS	AD	2,019,895	11/1935	Dow	—	—	
TIS	AE	2,266,075	12/1941	Replogle	—	—	
TIS	AF	2,475,808	7/1949	Storm, Jr.	—	—	
TIS	AG	2,524,117	10/1950	Storm, Jr.	—	—	
TIS	AH	2,542,634	2/1951	Davis et al.	—	—	
TIS	AI	5,558,697	9/1996	Dyson et al.	95	12	
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## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AN						
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## OTHER ART

	AS	Japanese Language Brochure Concerning National Product No. MC-110U, February 1981.
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Examiner: *B. Snider*

Date Considered: 1/22/03

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**APPLICANT'S(S) INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): WRIGHT, et al.

Filing Date: October 15, 2002

Group: Unknown 1700

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
TTS	AA	1,887,600	11/15/1932	Replogle			2/3/1928
TTS	AB	3,543,325	12/1/1970	Hamrick			12/22/1967
TTS	AC	3,626,545	12/14/1971	Sparrow			10/9/1969
TTS	AD	5,080,697	1/14/1992	Finke			4/3/1990
TTS	AE	5,106,488	4/21/1992	Jonasson			2/22/1990
TTS	AF	5,725,623	3/10/1998	Bowerman, et al.			1/22/1997
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## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
TTS	AP	479,455	2/7/1938	United Kingdom			
TTS	AQ	1,111,074	4/24/1968	United Kingdom			
TTS	AR	0 489 468 A1	6/10/1992	European			
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## OTHER ART

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Examiner:

R. Snider

Date Considered:

1/22/03

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		<b>Applicant(s):</b> Michael F. Wright, et al.			
		<b>Filing Date:</b> October 15, 2002		<b>Group:</b> 1744	

U.S. PATENT DOCUMENTS							
Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
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FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subcl.	Translation?
	AL	H3-10307	25 Oct 1991	Japan			Yes
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<b>Subst. Form PTO-1449</b>  <b>APPLICANT'S(S') INFORMATION DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> RYL 2 0535-3-3-1-1	<b>Serial No.:</b> 10/271,037
	<b>Applicant(s):</b> Michael F. Wright, et al.	
	<b>Filing Date:</b> October 15, 2002	<b>Group:</b> 1744

**U.S. PATENT DOCUMENTS**

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
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**FOREIGN PATENT DOCUMENTS**

		Document No.	Date	Country	Class	Subcl.	Translation?
	AL	1,468,142	12 Feb 1966	France			Yes
	AM	3-103059	1991	Japanese			No
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Examiner:

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

<b>Subst. Form PTO-1449</b>			<b>Att. Docket No.:</b> RYL 2 0535-3-3-3-1-1		<b>Serial No.:</b> 10/271,037		
<b>APPLICANT'S(S') INFORMATION DISCLOSURE STATEMENT</b>			<b>Applicant(s):</b> Michael F. Wright et al.				
			<b>Filing Date:</b> October 15, 2002			<b>Group:</b> 1744	

U.S. PATENT DOCUMENTS							
Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	Des. 398,097	8 Sept 1998	Murphy et al.			10 Jan 1997
	AB	1,230,827	19 June 1917	Duffie			30 Mar 1915
	AC	1,234,095	17 July 1917	Duffie			11 June 1917
	AD	5,135,552	4 Aug 1992	Weistra			5 Dec 1991
	AE	5,524,321	11 June 1996	Weaver et al.			14 Feb 1994
	AF	5,795,358	18 Aug 1998	Scanlon et al.			2 July 1997
	AG	6,085,382	11 July 2000	Bobrosky et al.			25 Oct 1997
	AH	3,614,860	26 Oct 1971	Grellsson			13 Mar 1969
	AI	4,934,019	19 June 1990	Shorthill et al.			10 April 1989
	AJ	6,035,486	14 Mar 2000	McCormick			03 Feb 1999
	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subcl.	Translation?
	AM	2 298 598	11 Sept 1996	Great Britain			Yes
	AN						
	AO						

OTHER ART	
	AP Fantom Vacuum Cleaner Owner's Manual (©1993).
	AQ Fantom Fury Vacuum Cleaner Owner's Manual (©1995).
	AR Fantom Vacuum Cleaner Service Manual for Iona® Model Series F-9000.

<b>Examiner:</b>	<b>Date Considered:</b>
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<b>Subst. Form PTO-1449</b>  <b>APPLICANT'S(S) INFORMATION DISCLOSURE STATEMENT</b>		<b>Atty. Docket No.:</b> RYL 2 0535-3-3-2A3-1		<b>Serial No.:</b> 10/218,231	
		<b>Applicant(s):</b> Kenneth W, Bair et al.			
		<b>Filing Date:</b> August 13, 2002		<b>Group:</b> 1744	

U.S. PATENT DOCUMENTS							
Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA						
	AB						
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	AK						
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FOREIGN PATENT DOCUMENTS							
		Document No.	Date	Country	Class	Subcl.	Translation?
	AM						
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	AO						

OTHER ART		
	AP	Dyson DC 03 Operating Manual, December 11, 1997.
	AQ	"Flair on the Floor" Appliance, December 1994, pgs.24-28.
	AR	Pictures of Shop-Vac QSP 16 Gallon Wet/Dry Vacuum and Excerpt of Shop Vac Article, Popular Mechanics, September 1996, pg. 88.
	AS	"The Bagless Vac A World First", Appliance Manufacturer, February 1992, pgs. 21-22.

<b>Examiner:</b>	<b>Date Considered:</b>
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